

OFFICE OF THE PUBLIC AUDITOR

Doris Flores Brooks, CPA, CGFM

Public Auditor PROCUREMENT APPEALS

IN THE APPEAL OF,

TELEGUAM HOLDINGS, LLC dba GTA

TELECOM,

Appellant.

APPEAL NO. OPA-PA-10-002

DECISION AND ORDER RE
PURCHASING AGENCY'S MOTION
FOR THE PUBLIC AUDITOR TO
RECUSE SELF

To: Appellant Teleguam Holdings, LLC dba GTA Telecom

c/o Jeffrey A. Cook, Esq. Cunliffe & Cook, P.C. 210 Archbishop Flores St., Ste 200 Hagatna, Guam 96910 FACSIMILE: (671) 472-2422

Purchasing Agency General Services Agency, Government of Guam

c/o John Weisenberger, Esq. Assistant Attorney General Office of the Attorney General 287 West O'Brien Drive Hagatna, Guam 96910 FACSIMILE: (671) 472-2493

THIS MATTER came before the Public Auditor pursuant to the Purchasing Agency's February 17, 2010 Motion for the Public Auditor to recuse herself from this matter.

FACTS

Said motion arises from the fact that the Public Auditor's stepson, Terrence Brooks, Esq., is the Legal Counsel for the Appellant. However, the Appellant has confirmed that it did not

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consult Terrence Brooks, Esq., for the formation of this appeal and that the Appellant has retained Jeffrey A. Cook, Esq., to represent Appellant in this matter.¹

DISCUSSION

The Purchasing Agency moves the Public Auditor to Recuse herself to avoid any appearance of impropriety. Generally, the Public Auditor may recuse herself at any time and notify all parties, or any party may raise the issue of disqualification and state the relevant facts prior to hearing and the Public Auditor shall make a determination and notify all parties. 2 G.A.R., Div. 4, Chap. 12, §12601. However, the appearance of impropriety standard does not apply to adjudicators of administrative hearings such as the Public Auditor. Instead, the standard for disqualification of administrative adjudicators such as the Public Auditor is the higher standard of actual bias. *Sule v. Guam Board of Dental Examiners*, 2008 Guam 20, ¶19 (Supreme Court of Guam). Hence, in order to prove that an administrative adjudicator is biased, there must be a concrete showing that bias actually exists and a party's unilateral perceptions of an appearance of bias cannot be a ground for disqualification. *Id.*, at ¶20. Thus, the correct standard is whether actual bias exists justifying the recusal of the Public Auditor from this matter. The Public Auditor affirms that an actual bias does not exist. Thus, the Public Auditor finds that she is unable to recuse herself under the actual bias standard.

However, the Public Auditor finds that she has a conflict of interest as a result of Terrence Brooks, Esq.'s position as one of the Appellant's legal counsel. It is a breach of ethical standards for any government employee to participate directly or indirectly in a procurement when the government employee knows that she or any member of her immediate family has a financial interest pertaining to the procurement. 5 G.C.A. §5628(a)(1). The term "direct or indirect participation" means involvement through decision, approval, disapproval,

 $^{^{1}}$ Letter from Eric N. Votaw to Anne T.S. Camacho dated February 9, 2010,

Exhibit 1 to Purchasing Agency's February 17, 2010 Motion for Public Auditor to Recuse Herself.

recommendation, preparation of any part of a purchase request, influencing the content of any specification or procurement standard, rendering of advice, investigation, auditing, or in any other advisory capacity. 5 G.C.A. §5601(d). Here, the Public Auditor finds that her role as the administrative adjudicator of the Appellant's procurement appeal constitutes indirect participation in this procurement because such role involves investigating, auditing, and ultimately deciding the Appellant's procurement appeal. The term "immediate family" as used in the aforementioned statute means spouse, children, parents, brothers, and sisters. 5 G.C.A. §5601(g). Here, Terrence Brooks, Esq., is a member the Public Auditor's immediate family because he is the Public Auditor's stepson. The term "financial interest" means involvement in any relationship from which, or as a result of which, a person within the past year has received, or is presently or in the future entitled to receive, more than two-thousand-five-hundred-dollars (\$2,500) per year, or its equivalent. 5 G.C.A. §5601(e)(1). Here, based on information and belief, Terrence Brooks, Esq., has a financial interest in Appellant because, as one of Appellant's legal counsels, he has likely been paid more than two-thousand-five-hundred (\$2,500) in the past year by the Appellant. Thus, the Public Auditor finds that she has the ethical duty not to participate in this appeal because Terrence Brooks, Esq., who is a member of her immediate family, has a financial interest in this matter because he is one of the Appellant's legal counsels.

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CONCLUSION

Based on the foregoing, the Purchasing Agency's Motion for the Public Auditor to Recuse Herself is hereby GRANTED. Pursuant to 2 G.A.R., Div. 4, Chap. 12, §12601, the Public Auditor hereby recuses herself from this matter and this appeal must be taken to the Superior Court of Guam in accordance with 5 G.C.A. §5480.

This is a Final Administrative Decision. The Parties are hereby informed of their right to appeal from a Decision by the Public Auditor to the Superior Court of Guam, in accordance with Part D of Article 9, of 5 G.C.A. within fourteen (14) days after receipt of a Final Administrative Decision. 5 G.C.A. §5481(a).

Decision Re Purchasing Agency's Motion for the Public Auditor to Recuse Herself- 3

A copy of this Decision shall be provided to the parties and their respective attorneys, in accordance with 5 G.C.A. §5702, and shall be made available for review on the OPA Website www.guamopa.org. **DATED** this 1st day of March, 2010. **PUBLIC AUDITOR** Decision Re Purchasing Agency's Motion for the Public Auditor to Recuse Herself- 4

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Mr. John Weisenberger, Asst.

AG, Legal Counsel for General

Services Agency

From: Doris Flores Brooks, Public Auditor

OPA Procurement Appeals

Mr. Jeffrey Cook, Esq.,

Legal Counsel for Appellant

Law Offices of Cunliffe and Cook

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Mr. John Weisenberger, Asst. AG, Legal Counsel for General

Services Agency

From: Doris Flores Brooks, Public Auditor **OPA Procurement Appeals**

Mr. Jeffrey Cook, Esq., Legal Counsel for Appellant Law Offices of Cunliffe and Cook

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Mr. John Weisenberger, Asst. AG, Legal Counsel for General Services Agency

From: Doris Flores Brooks, Public Auditor **OPA Procurement Appeals**

Mr. Jeffrey Cook, Esq., Legal Counsel for Appellant Law Offices of Cunliffe and Cook

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