



GUAM ETHICS COMMISSION
Kumisión i Ginihan Areklamenton Guåhan
Government of Guam

Transmitted Via Electronic Mail

January 22, 2024

Honorable Lourdes A. Leon Guerrero
Governor of Guam
Office of the Governor
513 W. Marine Corps Drive
Hagåtña, Guam 96910

Honorable Therese M. Terlaje
Speaker, 37th Guam Legislature
I'Mina Trentai siette Na Liheslaturan Guåhan
Guam Congress Building
163 Chalan Santo Papa
Hagåtña, Guam 96910

Honorable Benjamin J. F. Cruz
Public Auditor
Office of Public Accountability
238 Archbishop Flores St. Suite 401 DNA Bldg.
Hagåtña, GU 96910

Honorable Douglas B. Moylan
Attorney General of Guam
Office of the Attorney General of Guam
590 S. Marine Corps. Dr. Suite 901
Tamuning, Guam 96913

Re: Citizen Centric Report (CCR) for Fiscal Year ending September 30, 2022

Håfa Adai Governor Leon Guerrero, Speaker Terlaje, Public Auditor Cruz, and Attorney General Moylan,

I am pleased to present the Guam Ethics Commission's Citizen Centric Report for Fiscal Year ending September 30, 2022 pursuant to Public Law 30-127 Section 2(a). This four (4) page report provides the people of Guam information on the Commission's mission, goals, objectives, finances, challenges and future outlook.

The Guam Ethics Commission will post the CCR on our website: www.guamethics.com.

If I can provide further assistance or clarification, please feel free to contact me through email at jesse.quenga@ethics.guam.gov.

Si Yu'os Ma'ase!

JESSE JOHN QUENGA, CM®
Executive Director

Enclosure: Citizen Centric Report (CCR) for Fiscal Year ending September 30, 2022

The Guam Ethics Commission

Kumisión i Ginihan Areklamenton Guahan

CITIZEN CENTRIC REPORT

OCT. 2021-SEP. 2022

FY2022

THE COMMISSION

Christopher Cruz
Chairman

Margaret Tyquiengco
Vice-Chairwoman

Marilyn Borja
Commissioner

Robert Jack, MD
Commissioner

Shannon Murphy
Commissioner

Daphne Leon Guerrero
Commissioner

COMMISSION STAFF



JESSE JOHN QUENGA
EXECUTIVE
DIRECTOR

REUBEN C. BUGARIN
ETHICS INVEST. &
COMPLIANCE OFFICER II

PAMELA D. MABAZZA
ETHICS INVEST. &
COMPLIANCE OFFICER II

ARIELLE L. NAVARRO
ADMINISTRATIVE
ASSISTANT

WHAT WE DO

01 Guidance

The Commission issues informal guidance on all aspects of the Guam Ethics Code, including but not limited to:

- An employee's responsibility when receiving or accepting gifts from private or government sources
- A Public Official's responsibility when filing financial disclosures and gift disclosures
- An employee's post-employment restrictions after separating from government service.

03 Ensuring Compliance with disclosure laws

All financial disclosure statements filed by Government of Guam officials shall be maintained by the Guam Ethics Commission during the term of office of the employee, and for a period of three years thereafter.

02 Educational Training

Following the enactment of Public Law 36-25, in addition to elected and appointed officials, all Government of Guam employees shall attend and complete the Ethics in Government Program hosted by the Guam Ethics Commission, or other provider approved by the Guam Ethics Commission.

04 Enforcing Ethics Laws

The Commission shall receive and review complaints and conduct confidential investigations concerning potential violations.

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TALK TO US



671-969-5625



www.guamethics.com



info@ethics.guam.gov



**134 W. Soledad Ave., BOH
Bldg., Suite 406, Hagatna,
Guam 96910**

MISSION

The Guam Ethics Commission's mandate is to uplift the public's confidence in government employees, programs, and operations by ensuring the practice and promotion of the highest standards of ethical behavior in the government of Guam.



PERFORMANCE

2,345

GOVERNMENT EMPLOYEES AND OFFICIALS TRAINED.

50

ETHICS IN GOVERNMENT WORKSHOPS CONDUCTED.

28

AGENCIES 80-100% COMPLIANT IN FULFILLING THE ETHICS IN GOVERNMENT PROGRAM MANDATE.



PERCENT OF GOVERNMENT EMPLOYEES AND OFFICIALS TRAINED OUT OF 12,080.



PERCENT OF AGENCIES THAT HAVE SUBMITTED ETHICS TRAINING COMPLIANCE REPORT.

MAJOR ACCOMPLISHMENTS

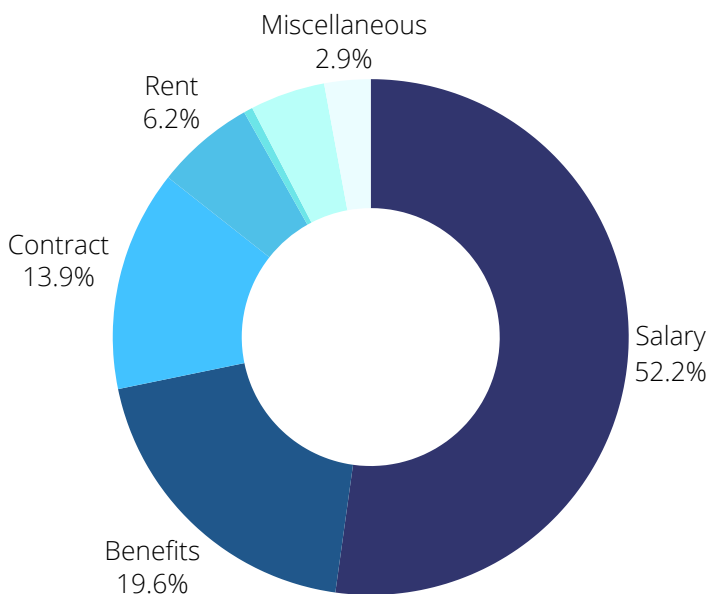
- The Commission successfully issued and awarded two professional contracts which provided the Commission with a general counsel and prosecutor for the adjudication of cases presented before it.
- In March 2022, the process for receiving complaints officially launched with nearly 40 cases filed before the end of the calendar year 2022.
- The Commission obtained a 33% increase in local appropriation from the general fund to the Commission. Further, legislative authorization was secured for the second year in a row for the Commission to utilize available funds in the Government Ethics Special Fund. This authorization helps the Commission satisfy any unmet need experienced from the lack of general appropriation funding.
- The Commission successfully prepared and submitted the draft administrative rules, as approved by the Commission. To facilitate the rule development process, a comprehensive enterprise-wide study was conducted, providing valuable insights into employee attitudes towards mandatory ethics training and the corresponding disciplinary actions for non-compliance. The rules were subsequently transmitted to the Office of the Governor and the Office of the Attorney General for their review and necessary action.
- The Commission collaborated with the University of Guam School of Business and Public Administration to initiate the Ethics in Leadership pilot program. This program aims to recognize students who have successfully completed the PA 405 Leadership Ethics in the Professions course by awarding them a certificate of completion for the Ethics in Government Program.



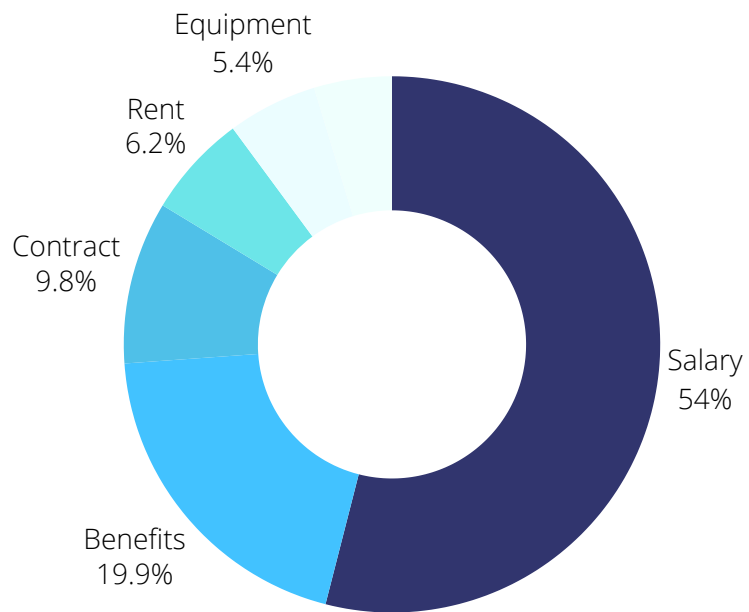
Ethics in Government Workshop conducted by Atty. Vince Camacho

FINANCES

Account Code	Budget Act(s) Appropriations	Expenditures
111 Salary	\$139,360	\$144,154
113 Benefits	\$52,264	\$53,080
TOTAL Personnel Services	\$191,623	\$197,234
230 Contract	\$37,000	\$26,200
233 Rent	\$16,605	\$16,605
240 Supplies	\$1,500	\$0
250 Equipment	\$12,555	\$14,453
290 Miscellaneous	\$7,723	\$12,527
TOTAL Operations	\$75,396	\$69,785
TOTALS	\$267,019	\$267,019



Budget Act(s) Appropriation



Expenditures

The Guam Ethics Commission is included in a Government-wide audit. An independent audit was conducted and released on November 21, 2023. Complete financial information can be found at: <https://opaguam.org/reports-audits/financial-audits>

CHALLENGES & OUTLOOK

UOG MOA



Explore a continuation of the memorandum of agreement with the university of Guam to provide facilitators to conduct ethics training as mandated by Public Law 36-25

Online Training



Explore partnerships with other government entities to expand and enhance the online ethics training portal.

Draft Rules



Monitor the review process for the draft administrative rules as adopted by the Commission.

COGEL Membership



Obtain full membership with the Council on Governmental Ethics Laws (COGEL) and send the Commission to the annual conference.

Ethics Training



Ensure adequate number of ethics training workshops are conducted to meet existing demand.

Ethics Partnerships



Executive Director to explore partnerships with ethics commissions of Hawaii and American Samoa.

“Our mission at the Guam Ethics Commission is to promote ethical practices within our government and ensure transparency in our operations. We strive to create a culture of trust and integrity for the benefit of the people of Guam. The 2022 report highlighted some of our key accomplishments, including the opening of our process for receiving ethics complaints, a 33% increase in our budget appropriation, and the approval of the first draft of administrative rules which was then transmitted for review at the attorney general's office and the office of the Governor.

These achievements serve as a testament to our unwavering dedication and efforts as an organization. While the work continues, we take immense pride in our accomplishments thus far. With the unwavering support of the Commission and the professional staff, we remain resolute in upholding our mission and making a profound and positive impact on Guam.”

Jesse John Quenga, Executive Director



Do you like this report? Is there any other information you would like to see included? Please let us know by contacting Ethics Investigation and Compliance Officer II Reuben C. Bugarin at reuben.bugarin@ethics.guam.gov.



Office of Public Accountability - Guam <admin@guamopa.com>

Guam Ethics Commission Citizen Centric Report FY2022

1 message

Reuben C. Bugarin <Reuben.Bugarin@ethics.guam.gov>

Mon, Jan 22, 2024 at 3:19 PM

To: "Speaker Therese M. Terlaje" <speaker@guamlegislature.org>, Benjamin Cruz <bjcruz@guamopa.com>, Office of Public Accountability <admin@guamopa.com>, "administration@oagguam.org" <administration@oagguam.org>, "Jean S. Taitano" <jean.taitano@guam.gov>

Cc: Ethics Info <Info@ethics.guam.gov>, "mcruz@guamopa.com" <mcruz@guamopa.com>

Håfa Adai,

On behalf of the Guam Ethics Commission, I respectfully transmit the Guam Ethics Commission's FY2022 Citizen Centric Report in accordance with Title 1 Guam Code Annotated Chapter 19 § 1922(a). If our office can provide anything further, please feel free to contact me. Thank you.

Best regards,

REUBEN C. BUGARIN

Ethics Investigation and Compliance Officer II

Guam Ethics Commission

Kumisión i Ginihan Areklamenton Guåhan

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